

12/31/01

J1131 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

For new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.

8229-017-27 CIP

First Inventor or Application Identifier

JIM HUNTER

Title

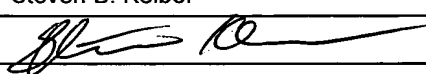
HIGH CONTRAST GRATING LIGHT VALVE

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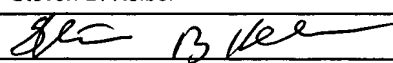
12/31/01

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p><input type="checkbox"/> Applicant claims small entity status.</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages 18</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 5</p> <p>4. <input type="checkbox"/> Oath or Declaration Total Pages </p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)</p> <p>5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>ACCOMPANYING DOCUMENTS</p> <p>6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>8. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>10. <input type="checkbox"/> Preliminary Amendment</p> <p>11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>12. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>13. <input type="checkbox"/> Request for Priority</p> <p>14. <input checked="" type="checkbox"/> List of Inventors' Names and Addresses</p> <p>15. <input checked="" type="checkbox"/> Other: NonPublication Request and Certification Request For Declaration of Interference</p>
<p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application no.: 09/802,619 filed March 8, 2001 (pending)</p> <p>Prior application information: Examiner: Group Art Unit:</p>	
<p>17. Amend the specification by inserting before the first line the sentence:</p> <p><input checked="" type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input checked="" type="checkbox"/> Continuation-in-part (CIP) of application Serial No. 09/802,619 Filed on March 8, 2001 (pending)</p> <p><input type="checkbox"/> This application claims priority of provisional application Serial No. Filed</p>	
<p>18. CORRESPONDENCE ADDRESS</p> <p>Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085</p>	

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	12/31/01
Name		Registration No.	

Telephone 202-861-3900

FEE TRANSMITTAL				Docket No.		8229-017-27 CIP				
				Serial No.		NEW CIP APPLICATION				
				Filing Date		HEREWITH				
				Inventor(s)		JIM HUNTER, ET AL.				
				Group Art Unit		UNASSIGNED				
TOTAL AMOUNT OF PAYMENT			\$870.00		Examiner		UNASSIGNED			
1. <input type="checkbox"/> Applicant claims small entity status. <input checked="" type="checkbox"/> Charge any <u>UNDERPAYMENT</u> or credit any <u>OVERPAYMENT</u> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.					FEE CALCULATION (continued)					
					3. ADDITIONAL FEES					
					Large Entity		Small Entity		Fee Description	
2. <input checked="" type="checkbox"/> Check enclosed.					Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
FEE CALCULATION					105	130	205	65	Surcharge-late filing fee or oath	130.00
1. BASIC FILING FEE					127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
Large Entity		Small Entity		Fee Description		139	130	139	130	Non-English specification
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid		147	2520	147	2520	Ex parte reexam. fee
101	740	201	370	Utility filing fee		740.00	115	110	215	55
106	330	206	165	Design filing fee			116	400	216	200
107	510	207	255	Plant filing fee			117	920	217	460
108	740	208	370	Reissue filing fee			118	1440	218	720
114	160	214	80	Provisional filing fee			128	1960	228	980
SUBTOTAL (1)				\$740.00		119	320	219	160	Notice of Appeal
2. EXTRA CLAIM FEES					120	320	220	160	Appeal Brief	
tot. claims		7	-	20*	=	0	x	\$18	=	0
ind. claims		2	-	3*	=	0	x	\$84	=	0
<input type="checkbox"/> Multiple Dependent Claims				\$280	=		143	460	243	230
Large Entity		Small Entity		Fee Description		144	620	244	310	Plant Issue Fee
Fee Code	Fee (\$)	Fee Code	Fee (\$)			122	130	122	130	Petitions to the Commissioner
103	18	203	9	Claims in excess of 20		126	180	126	180	IDS Submission
102	84	202	42	Independent claims in excess of 3		581	40	581	40	Assignment
104	280	204	140	Multiple dependent claim, if not paid		179	740	279	370	For Filing RCE
109	84	209	42	*Reissue independent claims over original patent		169	900	169	900	Expedited Design
110	18	210	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):				
SUBTOTAL (2)				\$0.00						
* or number previously paid, if greater; For Reissues, see above					SUBTOTAL (3)				\$130.00	

Name		Steven B. Kelber		Registration No.		30,073	
Signature				Date		12/31/01	
Name				Registration No.			
Telephone		202-861-3900					

DOCKET NO. 8229-017-27 CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: JIM HUNTER, ET AL.

SERIAL NO.: NEW CIP APPLICATION

FILING DATE: HEREWITH

FOR: HIGH CONTRAST GRATING LIGHT VALVE

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

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A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

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